

NEW YORK STATE ATTORNEY-CLIENT FEE DISPUTE RESOLUTION PROGRAM

ANNUAL REPORT TO THE ADMINISTRATIVE BOARD OF THE COURTS



2014

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Background

2014 marked the Fee Dispute Resolution Program's twelfth full year of operation. The Board of Governors for the Fee Dispute Resolution Program (FDRP) continued to ensure that attorneys and clients have access to cost-effective, high-quality methods of resolving fee disputes. The Board also continued to monitor local programs across New York State, and support their efficient operation by providing funding, training volunteer arbitrators, and responding to myriad legal and programmatic questions from staff of local programs as well as attorneys and clients.

Summary of Highlights

Below is a brief summary of the FDRP's main accomplishments during 2014. Each item will be discussed in greater detail:

- Local Programs opened 509 new cases in 2014 which is a 34% decrease in the number of new cases opened (773) in 2013. Local programs closed 997 cases in 2014, a slight decrease compared to the 1,120 cases closed in 2013.
- The average time from intake to disposition of cases was about 30 weeks.
- The average amount in dispute was \$12,991.
- 2014 marks the second year since 2007 where solo arbitrations exceeded panel arbitrations.
- At the request of a few of the administrators, the Board updated its policy of excluding matters where representation involved an estates matter in Surrogate's Court.
- The Board agreed to allow the Brooklyn Bar Association to put its mediation program on inactive status.
- The Board welcomed new members Linda J. Clark, Esq. and Joseph R. Brennan, Esq. Ms. Clark and Mr. Brennan were appointed by Presiding Justice Karen K. Peters of the Appellate Division Third Judicial Department.
- Chair Mangano named Robert Avallone, Esq. and William Dockery, Esq. co-chairs of the Legal Issues Subcommittee.
- Chair Mangano named Gene Johnson co-chair of the Qualifications and Training for Neutrals Subcommittee. Mr. Johnson joins Steven Schlissel, Esq. as co-chair.
- The Board amended the Notice of Arbitration Award (UCS 137-9) to include information on confirming awards.
- A new arbitrator training was held in Nassau County on November 20, 2014.
- The Board approved four new arbitrators who sought admission to the Ninth Judicial District's roster of neutrals.



Subcommittees

Subcommittees meet independently of the Board of Governors and operate with the assistance of co-counsel. Each subcommittee has an appointed chairperson who reports suggestions and findings to the Chair. The subcommittees' work and recommendations are subject to review and approval by the full Board of Governors at plenary meetings. The Board is supported by co-counsel, Daniel M. Weitz, Esq., and Amy Sheridan, Esq. Co-counsel also act as liaisons between the Board and the local programs, public, and bar.

The subcommittees and their respective chairs are:

- **Program Approval** –Katherine S. Bifaro and Martha E. Gifford, Esq.
- **Legal Issues**- Robert Avallone, Esq. and William Dockery
- **Qualifications and Training for Neutrals**- Gene A. Johnson and Stephen W. Schlissel, Esq.
- **Outreach & Education**- Linda M. Campbell, Esq.
- **Review Subcommittee**- Martha E. Gifford, Esq.

Background

The Legal Issues Subcommittee researches legal questions as they arise and provides guidance to the Board of Governors, local programs and arbitrators. Complex or weighty issues that merit extended discussion are brought to the attention of the full Board of Governors for consideration. The Board of Governors regularly brings important policy issues to the attention of the Administrative Board of the Courts for guidance and direction, particularly where local programs request amendments to or deviations from Part 137 or other applicable statutes or rules. The Board also consults with the Office of Court Administration's Counsel's Office on various legal issues.

Legal Issues Subcommittee

In 2014, the Legal Issues Subcommittee responded to a variety of inquiries from local program administrators, such as:

- Whether the program has jurisdiction to accept a fee dispute between a trustee, who represents a law firm in bankruptcy, and a client.
- Whether arbitrators may award an attorney fees for expenses on a motion to withdraw as client's attorney.
- Whether attorney's additional billing after he sent notice triggers an obligation to send another notice.
- How a program should handle a case where there is a court order to proceed with arbitration but where the client is non-responsive.
- Whether a client may withdraw after hearing but before award is rendered due to her concerns over issue preclusion.

At the request of a few of the administrators, the Board updated its policy of excluding matters where representation involved an estates matter in Surrogate's Court. In estates matters, the Surrogate will set attorneys' fees 1) where there is an accounting or 2) where a fiduciary of the estate, a person interested, or an attorney who has rendered services petitions the Surrogate's Court to fix and determine the compensation. The Surrogate's Court will review attorney's

fees, on its own, in very limited circumstances. As such, the Board instructed local programs to start or continue to accept these matters on a discretionary case-by-case basis.

The Legal Issues Subcommittee, led by Robert Avallone, Esq. and William Dockery, Esq., responds to inquiries on a frequent basis and the Board of Governors is grateful for all of their hard work.

Program Approval Subcommittee

In 2014, local program administrators suggested revisions to the Notice of Arbitration Award Form (UCS 137-9). Administrators reported that clients often contact them to find out how to enforce their arbitration awards. After discussion with local program administrators at the 2014 Local Program Administrators' Meeting, the Board agreed to amend the form to include information on confirming arbitration awards.

The Board of Governors is grateful to the members of the Program Approval Subcommittee, led by Katherine S. Bifaro and Martha E. Gifford, Esq., for all of their hard work.

Background

The Program Approval Subcommittee monitors approved local programs to ensure compliance with the Standards and Guidelines, as well as Part 137. In its beginning years, the subcommittee reviewed program proposals submitted by bar associations and Judicial District Administrative Judges' Offices to the Board of Governors. Now, the subcommittee reviews program requests for rule amendments, form amendments, and other local programmatic changes.

The Subcommittee presents proposals to the Board of Governors with recommendations for approval or other action. The guiding criterion for the Subcommittee and the full Board is whether the proposed program provides a fair and efficient process for the resolution of attorney-client fee disputes. A table of dates that local programs were approved can be found in Appendix B.

Education and Outreach Subcommittee

THE EDUCATION AND
OUTREACH
SUBCOMMITTEE'S
MANDATE IS TO EDUCATE
THE PUBLIC ABOUT THE
FDRP.

Part 137 Case Summaries

In 2014, the Board posted Part 137 case summaries to the Part 137 website (nycourts.gov/feedispute). The summaries have been a great tool when responding to inquiries from the public.

The Board of Governors is grateful to the members of the Education and Outreach Subcommittee, led by Linda M. Campbell, Esq., for all of their hard work.

Qualifications and Training for Neutrals Subcommittee

Background

Section 9 of the Standards and Guidelines prescribes minimum training requirements and addresses the qualifications and duties of Part 137 arbitrators. In developing these requirements, the Board sought to assure high-quality services and preserve local program flexibility without overburdening volunteer arbitrators. The training includes a 90-minute Part 137 orientation program for experienced arbitrators and a six-hour program for new arbitrators (inclusive of the orientation).

The Board of Governors has to date approved two mediation programs (Joint Committee on Fee Disputes and Conciliation and Brooklyn Bar Association¹), both of which follow generally accepted standards within the mediation field and utilize trained mediators whose credentials and qualifications have been approved under recognized court-annexed or community dispute resolution programs.

The Subcommittee provides logistical and other assistance to local programs in organizing the training sessions for arbitrators. Members of the Board of Governors frequently attend these training sessions and thank the participants for agreeing to serve as volunteers in the Fee Dispute Resolution Program.

The Board of Governors is grateful to the members of the Qualifications and Training for Neutrals Subcommittee, led by Gene A. Johnson and Stephen W. Schlissel, Esq., for all of their hard work.

ON NOVEMBER 20TH, 2014, CHAIR GUY JAMES MANGANO AND CO-COUNSEL, DANIEL WEITZ, ESQ. CONDUCTED A NEW ARBITRATOR TRAINING IN THE SUPREME COURT BUILDING IN MINEOLA, NEW YORK, NASSAU COUNTY. THE TRAINING WAS ATTENDED BY OVER 70 PEOPLE. HONORABLE THOMAS A. ADAMS, DISTRICT ADMINISTRATIVE JUDGE WELCOMED THE ATTENDEES AND THANKED THEM FOR PARTICIPATING IN THE PROGRAM.

Review Subcommittee

Background

A Review Subcommittee was created to address any concerns that may be raised by Part 137 parties and the public about the program, staff, and arbitrators. The subcommittee recommends action to the Board and assists co-counsel with any inquiries received. The subcommittee offers vital support to the program in light of the Board's responsibility pursuant to the rule and standards, as well as the Attorney General Opinion (Formal Opinion 2004-F3) which provides for defense and indemnification for arbitrators.

On a rolling basis, the Subcommittee also reviews resumes of new arbitrators who have taken a Part 137 arbitrator training and who wish to serve on local program rosters. This is

IN 2014, AFTER A THOROUGH REVIEW AND UPON RECOMMENDATION OF THE SUBCOMMITTEE, THE BOARD APPROVED OF FOUR NEW ARBITRATORS WHO SOUGHT ADMISSION TO THE NINTH JUDICIAL DISTRICT'S ROSTER OF NEUTRALS.

¹ At their request, the Brooklyn Bar Association's mediation program is currently on inactive status.

part of an on-going process to monitor the Program and to ensure that neutrals continue to receive defense and indemnification pursuant to the Attorney General Opinion 2004-F3.

Board Membership

The Board said goodbye to a longstanding member during 2014². James L. Chivers, Esq. resigned on February 21, 2014. Mr. Chivers was appointed in 2006 by the Third Department Presiding Justice Anthony V. Cardona. The Board will greatly miss the great work and dedication of Mr. Chivers.

Presiding Justice Karen K. Peters of the Appellate Division Third Judicial Department swiftly filled the gaps caused by the loss of Mr. Chivers and John Pennock from the year before, by appointing Joseph R. Brennan, Esq. and Linda J. Clark, Esq. The Board looks forward to working with both Mr. Brennan and Ms. Clark.

2014 Caseload Activity

Summary of Data Collected from Local Programs

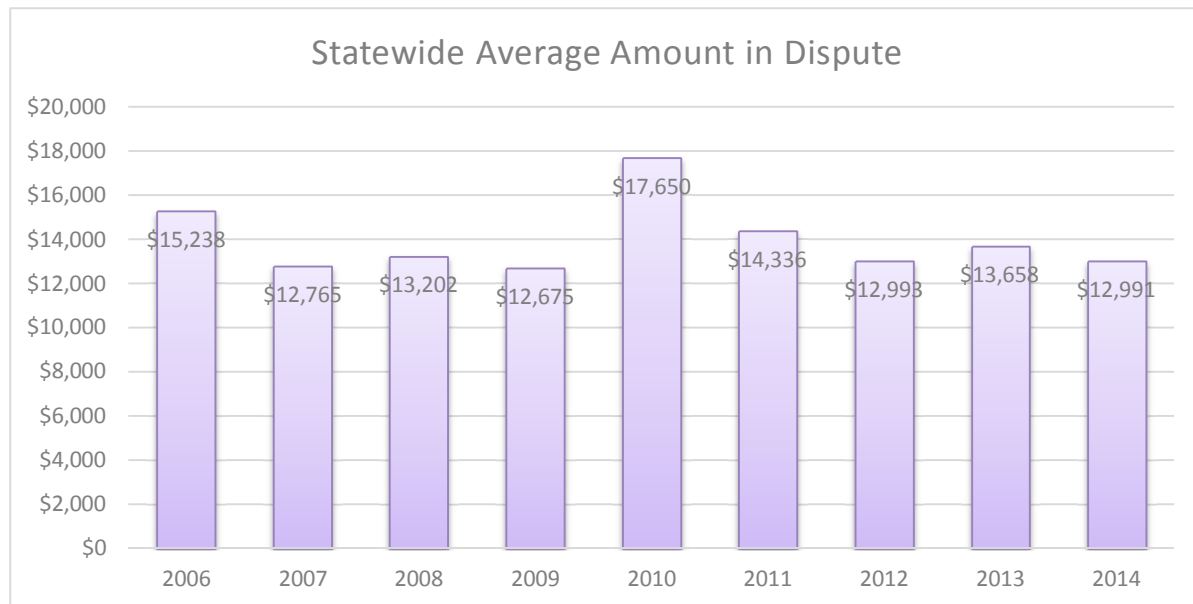
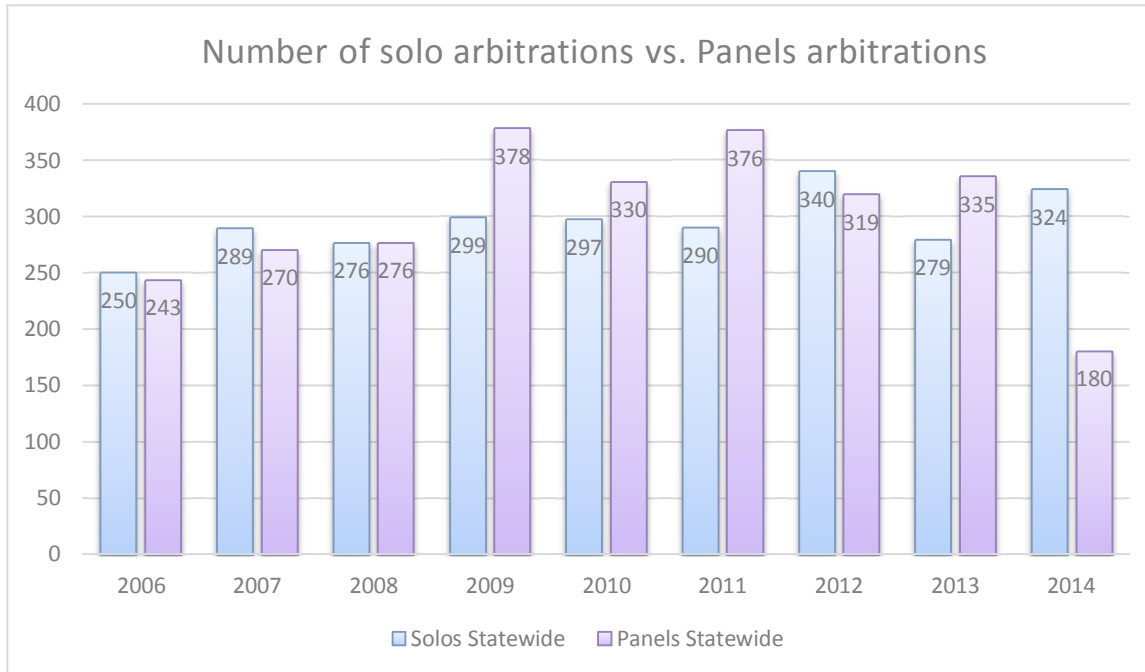
Since its inception in January 1, 2002, the Fee Dispute Resolution Program has closed more than 9,861 cases. Local Programs opened 509 new cases in 2014. Local programs closed 997 cases, which is a slight decrease from the 1,120 cases closed in 2013.

Of the 997 cases closed in 2014, 504 were arbitrated. Arbitrators issued awards in 364 of the 509 cases. Two hundred ninety cases were either dismissed for lack of jurisdiction or withdrawn by the filing party. One hundred ninety-one cases were resolved outside of arbitration. Cases resolved outside of arbitration include 160 cases settled prior to arbitration or mediation and 31 mediated cases.

Single arbitrators arbitrated 324 cases, while panels of three arbitrators arbitrated 180 cases. In 2014, the threshold for assembling panel arbitrations was raised from \$6,000 to \$10,000. Over the years the number of panel arbitrations had steadily increased; reaching parity with the number of solo arbitrations in 2008. Since then, the number of panel arbitrations continued to increase, exceeding the number of solo arbitrations by an average of 39 cases each year. 2014 marks the second year since 2007 where solo arbitrations exceeded panel arbitrations.

Statewide, the average amount in dispute was \$12,990.95, which is a slight decrease in the average amount in dispute among 2013 cases (\$13,673.89).

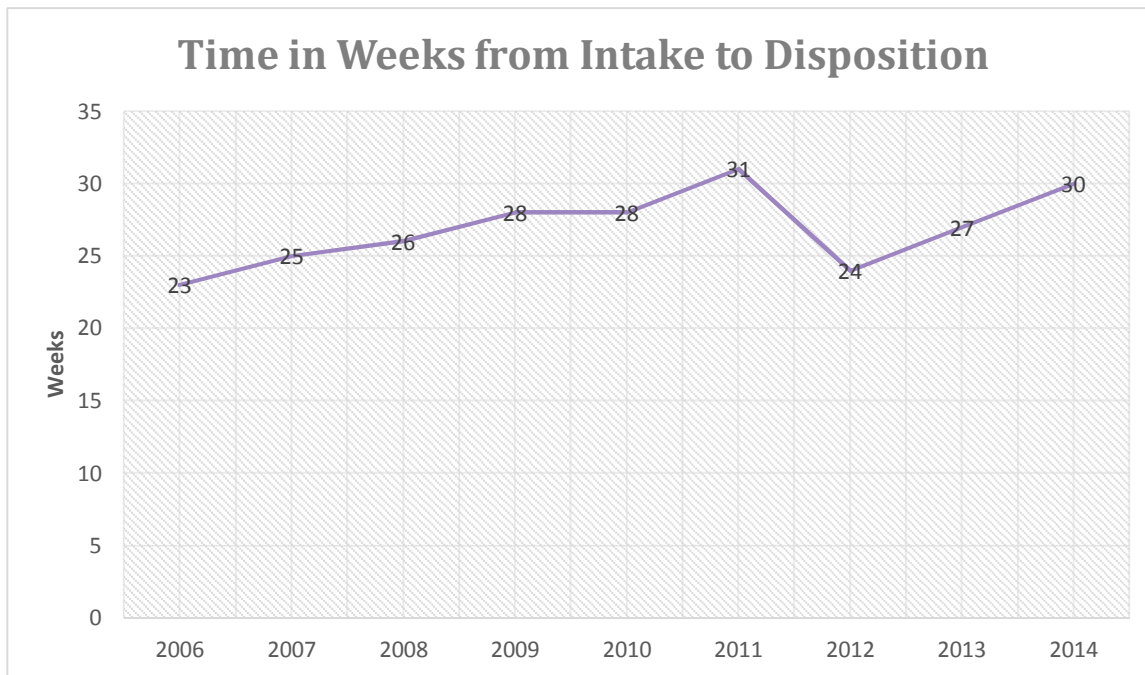
² At the time of publication, Ferdinand Acunto, non-attorney appointee of former Presiding Justice Anthony V. Cardona of the Third Judicial Department, has also resigned.



Time from Intake to Disposition

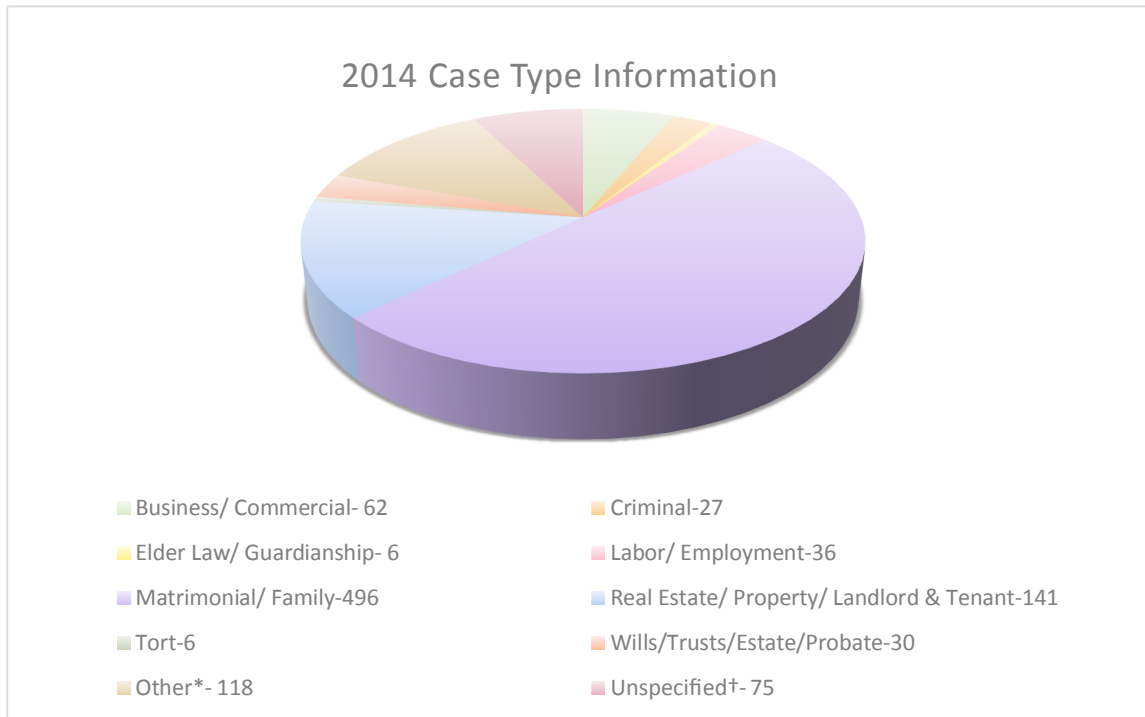
In 2014, it took an average of 30 weeks for programs to dispose of cases. This is three weeks longer than the average time in which local programs closed cases in 2013. The Board will be studying case data going forward, to determine whether there is any relationship between disposition time and the new \$10,000 threshold. As 2014 was the first year with the new higher panel threshold, it may be too soon to tell whether the increase affects disposition time.

Data from the prior years show a gradual increase in the time it took to dispose of a case. In 2006, it took an average of 23 weeks for cases to proceed from intake to disposition, while in 2007 it took an average of 25 weeks for cases to proceed from intake to disposition. In 2008 the average was 26 weeks, in 2009 and 2010 it took 28 weeks, and in 2011 31 weeks. In 2012, the time to disposition dipped by seven weeks from the previous year.



Case Type Information

As in previous years, the majority of cases handled by the program involved matrimonial and family representation. These cases made up just about half of all cases closed in 2014 at 493. The chart below depicts a relational breakdown of case-types.



* Other is mostly used for: immigration, bankruptcy, collection, Medicaid, nursing home, school issues, civil contracts, IRS, retirement planning, stock, pension, patent law, defamation, lobbyist.

† Unspecified is generally used as a temporary place-holder until the admin receives more information in order to designate a case type. Where more information is not obtained, some cases are dismissed for lack of jurisdiction.

Funding

The Office of Court Administration continues to fund the following programs in order to help defray costs: the Bar Association of Erie County (BAEC); the New York County Lawyers Association (NYCLA), which administers the Joint Committee on Fee Disputes and Conciliation in Bronx and New York Counties; the Onondaga County Bar Association (OCBA); and the Monroe County Bar Association (MCBA). Beginning in 2007, all funding to bar associations occurs pursuant to the terms of negotiated multi-year contracts based on a fiscal year.

In 2014, programs were granted a 1% budget increase for the fiscal year April 1, 2014-March 31, 2015. The following is a breakdown of the funding that each program received during the fiscal year April 1, 2014- March 31, 2015: BAEC - \$ 9,006; NYCLA - \$ 78,801; OCBA - \$ 13,509; MCBA - \$ 17,280.

Prior to 2007, the Office of Court Administration funded programs through the less formal memoranda of understanding. This change reflects the evolution of the funding process from ad hoc, annual memoranda of understanding to a structured process of negotiated multi-year contracts. As a result of this change, bar associations that obtain funding in support of their local fee dispute resolution programs submit detailed annual budgets for review and approval, and they are required to file reconciliation reports on a quarterly basis. This change brings the funding of Part 137 programs into conformity with the standard budget and contract practices of the Unified Court System. The Board of Governors believes that this change promotes greater accountability and provides a structured opportunity for local programs and the Board of Governors to address collaboratively any impediments to a fair, expeditious and efficient process for attorneys and clients.

Local Program Administrator Meetings

As in previous years, due to fiscal constraints, the 2014 meeting was held by video conference. This year the group used Microsoft Lync, the new communications software used by the New York State Unified Court System. The presenters were able to toggle between live video of participants and a PowerPoint presentation.

Participants who could travel or who live or work close to New York City attended in-person. While there is always a preference to meet in person, the ability to meet by Microsoft Lync cut down on travel costs and made it easier for administrators, who may be unable to travel due to other obligations, to participate. Scheduling is also more convenient, thus promoting greater attendance and participation.

Looking Ahead

The Board of Governors will be studying case data going forward, in particular disposition times, amounts in dispute, and panel arbitrations, to determine whether there is any relationship between the increased threshold and time to disposition.

The Board also looks forward to welcoming new appointees to fill the vacancies left by the qualified and knowledgeable members who have resigned.

The Board continues to ensure that there are sufficient numbers of well-trained and qualified arbitrators around the State to preside over fee arbitrations in a fair and timely manner. The Board recognizes the importance of continued outreach so that judges, attorneys and clients remain aware of the FDRP.

The Board will continue to consult with local program administrators to identify concerns and will continue to work with the Administrative Board of the Courts and the Office of Court Administration to oversee this valuable program.

Conclusion

In this annual report to the Administrative Board of the Courts, covering the twelfth full year of operation, the Board of Governors expresses its gratitude for the high level of cooperation we have received, without exception, from county-level bar associations in New York State and from District Administrative Judges across the State. We have benefitted greatly from the highly motivated and hands-on lawyers and members of the public who have been appointed by you to serve as members of the Board of Governors. Virtually every one of them has evinced great dedication to their task of implementing Part 137 and working with local programs to ensure the success of this Program.

We, the members of the Board of Governors, greatly appreciate the interest, responsiveness, and support we have received from the Administrative Board of the Courts. We believe that we continue to provide a process that guarantees the fair and speedy resolution of fee disputes and furthers the interests of the public and the legal profession.

APPENDIX A- BOARD OF GOVERNORS

Member	Appointment
Hon. Guy J. Mangano	Chief Judge Jonathan Lippman
Gene A. Johnson	Chief Judge Judith S. Kaye
Mary Loewenguth	Chief Judge Jonathan Lippman
Martha E. Gifford, Esq.	Chief Judge Jonathan Lippman
Simeon H. Baum, Esq.	Chief Judge Judith S. Kaye
Paul M. Hassett, Esq.	Chief Judge Jonathan Lippman
William J. Dockery, Esq.	Presiding Justice Joseph P. Sullivan
Vacant	Presiding Justice (App. Div. 1 st Dept.)
Vacant	Presiding Justice (App. Div. 1 st Dept.)
Stephen W. Schlissel, Esq.	Presiding Justice A. Gail Prudenti
Yolanda A. Walker	Presiding Justice A. Gail Prudenti
Robert J. Avallone, Esq.	Presiding Justice A. Gail Prudenti
Ferdinand J. Acunto*	Presiding Justice Anthony V. Cardona
Joseph R. Brennan, Esq.	Presiding Justice Karen K. Peters
Linda J. Clark, Esq.	Presiding Justice Karen K. Peters
Linda M. Campbell, Esq.	Presiding Justice Eugene F. Pigott, Jr.
Vacant	Presiding Justice Henry J. Scudder
Katherine S. Bifaro	Presiding Justice Henry J. Scudder

**resigned as of January 24, 2015.*

Ex Officio

Abigail Wickham, Esq.

APPENDIX B – APPROVED PROGRAMS

Program Approval Status- Statewide Overview

As of December 31, 2014

District	Administrator	Status
<i>First (Manhattan)</i>	Joint Committee on Fee Disputes and Conciliation	Joint program of New York County Lawyers Assn, Bronx County Bar Assn, and Assn of the Bar of the City of New York. Program operates out of NYCLA headquarters. Approved to administer program as of 3/4/2002
<i>Second (Kings)</i>	Brooklyn Bar Assn	Approved to administer program as of 8/20/2002
<i>Third (Albany, Schoharie, Rensselaer, Greene, Columbia, Ulster, Sullivan)</i>	District Administrative Judge's Office. (Program covers entire District)	Approved to administer program as of 7/23/2002
<i>Fourth (Schenectady, Saratoga, Montgomery, Fulton, Washington, Warren, Hamilton, Essex, St. Lawrence, Franklin, & Clinton)</i>	District Administrative Judge's Office (Program covers entire District)	Approved to administer program as of 5/1/2005
<i>Fifth (Onondaga, Herkimer, Jefferson, Lewis, Oneida, Oswego)</i>	Onondaga County Bar Assn, in partnership with the District Administrative Judge's Office (Program covers entire District)	Approved to administer program as of 7/24/2002
<i>Sixth (Broome, Chemung, Chenango, Cortland, Delaware, Madison, Otsego, Schuyler, Tioga & Tompkins)</i>	District Administrative Judge's Office (Program covers entire District)	Approved to administer program as of 4/16/2003
<i>Seventh (Monroe, Cayuga, Livingston, Ontario, Seneca, Steuben, Wayne & Yates)</i>	Monroe County Bar Assn, in partnership with the District Administrative Judge's Office (Program to cover entire District)	Approved to administer program as of 10/1/2002
<i>Eighth (Erie, Allegany, Cattaraugus, Chautauqua, Genesee, Niagara, Orleans & Wyoming)</i>	Bar Assn of Erie County (Program covers entire District)	Approved to administer program as of 2/6/2002
<i>Ninth (Westchester, Dutchess, Orange, Putnam, Rockland)</i>	District Administrative Judge's Office (Program covers entire District)	Approved to administer program as of 2/24/2003
<i>Tenth (Nassau)</i>	District Administrative Judge's Office (Program covers Nassau)	Approved to administer program as of 2/24/2003

	County)	
<i>Tenth (Suffolk)</i>	Suffolk County Bar Assn (SCBA Pilot program ran from Feb. 28, 2003 to Nov. 22, 2004 to arbitrate disputes of \$3000 and above only in Suffolk County; District Administrative Judge's Office arbitrated disputes between \$1,000 and \$3,000. The SCBA now handles all Part 137 fee disputes.)	Approved to administer program as of 10/9/2002
<i>Eleventh (Queens)</i>	District Administrative Judge's Office	Approved to administer program as of 4/24/2003
<i>Twelfth (Bronx)</i>	Same as First District	Same as First District
<i>Thirteenth (Staten Island)</i>	Richmond County Bar Assn	Approved to administer program as of 1/9/2003

APPENDIX C- CASELOAD DATA

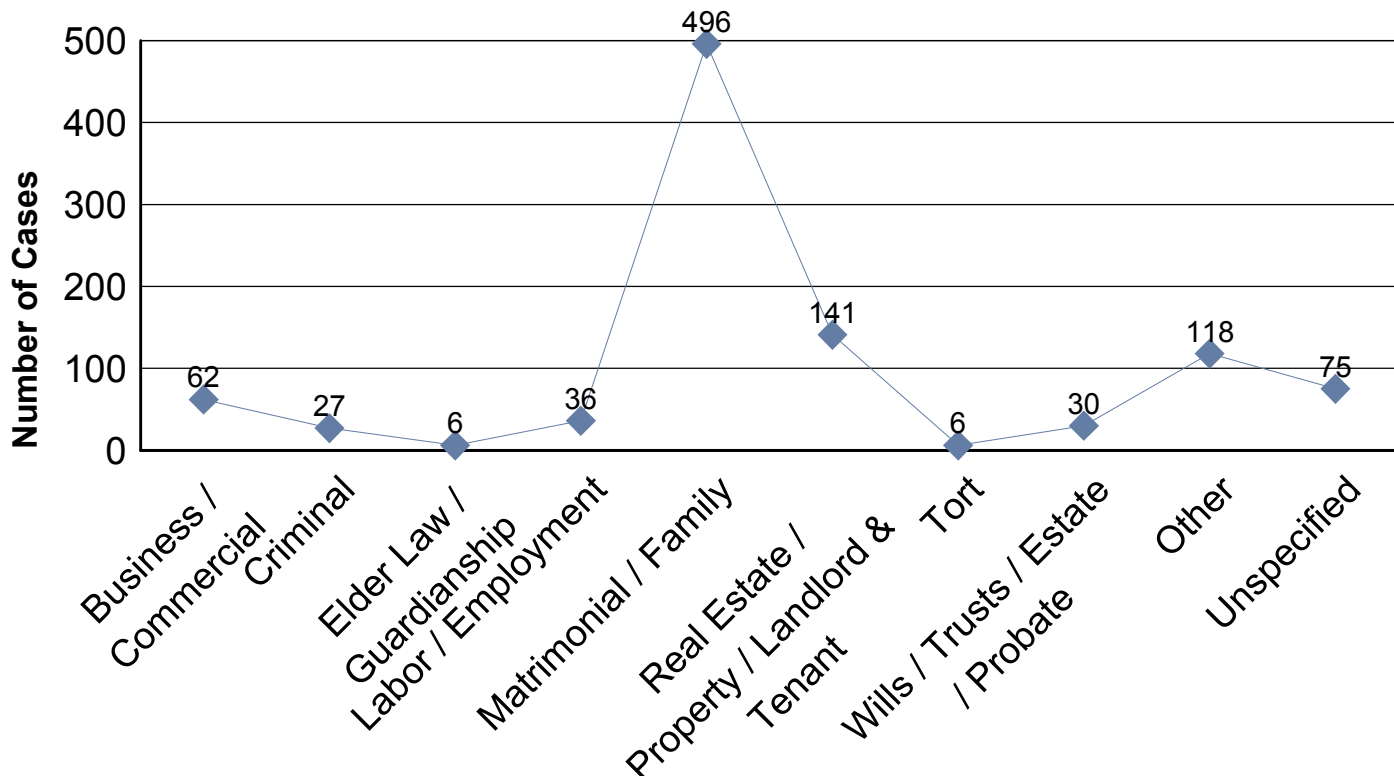
The following pages summarize the caseload data that local programs reported.

Quarterly Activity Report: 2014

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	Total
Cases Closed	217	288	228	264	997
Average Number of Weeks from Intake to Disposition	25.5	28.0	26.2	39.8	30.2
<u>Cases Arbitrated or Settled During Arbitration</u>					
Cases Assigned to One Arbitrator	60	113	61	90	324
Cases Assigned to Three Arbitrators	37	58	50	35	180
Total Admin. Fees Collected from Parties	\$16,210.00	\$24,600.00	\$22,570.00	\$18,765.00	\$82,145.00
Average Amount in Dispute (All Cases)	\$12,561.28	\$13,178.57	\$14,001.53	\$12,263.92	\$12,990.95

Filing Parties

Attorney	Client	Not Reported
74	870	53

Case Type Information

Disposition Information

	Number of Cases
Arbitrated - Award Issued	364
Arbitrated - No Award Issued	35
Mediated - Settlement Reached	29
Mediated - No Settlement Reached	2
Settled During Arbitration	105
Settled Prior to Arbitration or Mediation	160
Claim Withdrawn	29
Lack of Jurisdiction (see below)	261
Others	12
Total	997

Cases Dismissed for Lack of Jurisdiction

	Number of Cases
<i>Amount in Dispute > \$50,000</i>	8
<i>Amount in Dispute < \$1,000</i>	18
<i>Services Provided Outside Local Program's Geographic Jurisdiction</i>	19
<i>Referred to Grievance Committee for Noncompliance with Part 137</i>	1
<i>Referred to Grievance Committee for Apparent Attorney Misconduct</i>	9
<i>Substantial Legal Question</i>	35
<i>Other</i>	171

	Statewide	1st & 12th JDs	2nd JD	3rd JD	4th JD	5th JD	6th JD	7th JD
<u>Disposition Information</u>								
Total Cases Closed	997	218	37	38	14	13	10	71
Average Weeks from Intake to Disposition	30.17	49.59	17.00	18.39	10.60	40.23	36.00	24.39
Total Cases Arbitrated	504	111	17	4	2	12	4	31
Cases Arbitrated With Awards Issued	364	104	7	3	2	10	4	27
Cases Settled During Arbitration	105	7	1	1	0	2	0	4
Arbitration Held But No Award Issued	35	0	9	0	0	0	0	0
Cases Arbitrated by One Arbitrator	324	53	13	4	1	10	1	24
Cases Arbitrated by Three Arbitrators	180	58	4	0	1	2	3	7
Total Cases Resolved Outside of Arbitration	191	60	1	2	0	1	2	24
Total Number of Settled Cases	160	29	1	2	0	1	2	24
Settlements Prior to Arbitration	156	26	1	2	0	1	2	23
Settlements Prior to Mediation	4	3	0	0	0	0	0	1
Total Number of Mediated Cases	31	31	0	0	0	0	0	0
Cases Mediated to Agreement	29	29	0	0	0	0	0	0
Cases Mediated With No Agreement	2	2	0	0	0	0	0	0
Total Cases Withdrawn and Dismissed for Lack of Jurisdiction	290	46	19	31	12	0	4	9
Cases Withdrawn	29	6	3	1	1	0	0	1
Cases Dismissed for Lack of Jurisdiction	261	40	16	30	11	0	4	8
<u>Financial Information</u>								
Total Admin. Fees Collected From Parties	\$82,145.00	\$39,400.00	\$5,775.00	\$0.00	\$0.00	\$825.00	\$0.00	\$7,275.00
Average Amount in Dispute	\$12,990.95	\$20,947.60	\$4,524.95	\$11,872.21	\$12,536.93	\$5,387.08	\$11,661.60	\$7,181.40

	8th JD	9th JD	10th JD - Nassau	10th JD - Suffolk	11th JD	13th JD
<u>Disposition Information</u>						
Total Cases Closed	69	92	206	186	37	6
Average Weeks from Intake to Disposition	13.14	32.02	32.12	20.07	9.67	36.50
Total Cases Arbitrated	21	47	114	117	20	4
Cases Arbitrated With Awards Issued	14	30	61	88	12	2
Cases Settled During Arbitration	7	13	32	29	8	1
Arbitration Held But No Award Issued	0	4	21	0	0	1
Cases Arbitrated by One Arbitrator	18	31	78	74	15	2
Cases Arbitrated by Three Arbitrators	3	16	36	43	5	2
Total Cases Resolved Outside of Arbitration	7	16	35	41	1	1
Total Number of Settled Cases	7	16	35	41	1	1
Settlements Prior to Arbitration	7	16	35	41	1	1
Settlements Prior to Mediation	0	0	0	0	0	0
Total Number of Mediated Cases	0	0	0	0	0	0
Cases Mediated to Agreement	0	0	0	0	0	0
Cases Mediated With No Agreement	0	0	0	0	0	0
Total Cases Withdrawn and Dismissed for Lack of Jurisdiction	40	29	56	28	16	0
Cases Withdrawn	9	0	1	6	1	0
Cases Dismissed for Lack of Jurisdiction	31	29	55	22	15	0
<u>Financial Information</u>						
Total Admin. Fees Collected From Parties	\$8,920.00	\$0.00	\$0.00	\$19,350.00	\$0.00	\$600.00
Average Amount in Dispute	\$10,440.59	\$12,259.02	\$11,598.44	\$12,031.57	\$9,597.00	\$9,751.50